

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.27(a))-SMALL BUSINESS CONCERN****DOCKET NUMBER: 2732.1047-010**

Applicant or Patentee: Gary R. Ostroff and Gordon D. Ross (deceased)  
Application or Patent No.: 10/526,175  
Filed or Issued: February 28, 2005  
Title: Cancer Therapy Using Whole Glucan Particles and Antibodies

I hereby state that I am

- ☐ the owner of the small business concern identified below;  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Biopolymer Engineering  
ADDRESS OF SMALL BUSINESS CONCERN 3388 Mike Collins Drive  
Eagan, Minnesota 55121

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.  
☒ each such person, concern, or organization is listed below.

University of Louisville Research Foundation, Inc.  
2301 S. Third Street  
Louisville, Kentucky 40208

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Steven J. Karel

TITLE OF PERSON IF OTHER THAN OWNER Chief Technology and Operating Officer

ADDRESS OF PERSON SIGNING Biopolymer Engineering, 3388 Mike Collins Drive, Eagan, Minnesota 55121

SIGNATURE  DATE 5/27/05